

NAME OR OWNERSHIP CHANGE

Notice of a change in how an interest is held — Caprock Operating LLC

THIS FORM DOES NOT CONVEY AN INTEREST. It tells us about a change that has already happened, so the payment record can be corrected. A conveyance needs its own instrument, properly executed and recorded.

THE INTEREST

PROPERTY / WELL / LEASE NAME

COUNTY

OWNER NUMBER (IF KNOWN)

FROM

NAME THE INTEREST IS CURRENTLY HELD IN

TO

NAME THE INTEREST SHOULD NOW BE HELD IN

NEW MAILING ADDRESS

NEW TAXPAYER I.D. / SSN

Send by post. A new Form W-9 is required whenever the TIN changes.

REASON FOR THE CHANGE

- ☐ Marriage
- ☐ Divorce
- ☐ Death of the owner
- ☐ Sale or gift by recorded deed
- ☐ Transfer into a trust
- ☐ Transfer into or out of a company
- ☐ Correction of a misspelling
- ☐ Other

EFFECTIVE DATE OF THE CHANGE

WHAT TO SEND WITH THIS FORM

Marriage or divorce — the certificate or decree showing the name change. Death with a probated will — letters testamentary and the will. Death without probate — a recorded Affidavit of Heirship. Deed or assignment — a copy showing the recording data. Trust — a certification of trust naming the trustee. Company — the filed formation document and evidence of authority to sign. Any change of TIN — a new Form W-9.

NOTE

Payment may stay in suspense until the payor is satisfied as to title, which Texas Natural Resources Code § 91.402(b) permits. Sending the right documents the first time is what shortens that.

SIGNATURE

Signature

Date**PRINTED NAME****CAPACITY — OWNER, EXECUTOR, TRUSTEE, ATTORNEY-IN-FACT**